



Filing ID #10027271

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Andy Harris
Status: Member
State/District: MD01

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2018
Filing Date: 05/15/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
403b American Century Emerging Markets [MF]		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
403b American Century Global Gold [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
403b American Century Intl Discovery [MF]		\$100,001 - \$250,000	Tax-Deferred	None	<input type="checkbox"/>
403b American Century Money Market [MF]		None	Tax-Deferred		<input type="checkbox"/>
403b American Century Short Duration Bond [MF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
403b American Century Strategic Allocation [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
403b CREF Inflation Linked Bond [MF]		\$100,001 - \$250,000	Tax-Deferred	None	<input type="checkbox"/>
403b TIAA Traditional [MF]		\$100,001 - \$250,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
403b Vanguard 500 Index [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
403b Vanguard Capital Opportunity [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
403b Vanguard Emerging Market [MF]		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Energy [MF]		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Life Strategy Growth [MF]		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard MidCap Index [MF]		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Pacific Index [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
403b Vanguard REIT Index [MF]		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Selected Value [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
403b Vanguard Short Term Investment Grade Bond Fund [MF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
457 MD State Investment Contract Pool [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
529 - MD prepaid tuition [5P] LOCATION: MD DESCRIPTION: DC4	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
529 - Portfoliio for College [OT] DESCRIPTION: DC5	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
529 College Savings Plan MD, Portfolio 2018 [OT] DESCRIPTION: 529 - DC5	DC	None	Tax-Deferred		<input checked="" type="checkbox"/>
529 College Savings Plan, Portfolio for College [OT] DESCRIPTION: 529	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CREF 403b Equity Index [MF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Charitable Gift Fund [OT]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Fidelity Charitable Gift Fund					
IRA T Rowe Price Intl Discovery [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Rollover from Keogh					
IRA T Rowe Price Money Market [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Rollover from Keogh					
M & T Checking [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
McCormick & Company, Incorporated (MKC) [ST]		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
DESCRIPTION: IRA					
MD 457 Vanguard Institutional Index [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MD 529 Prepaid Tuition [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
LOCATION: MD					
DESCRIPTION: DC5					
MD State 457 Large Cap Value [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: exchange to Vanguard Institutional Index					
Merck & Company, Inc. Common Stock (MRK) [ST]		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
DESCRIPTION: IRA					
Merrill IRA Money Market [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Purchases from dividend payments from stocks held in that IRA - none exceeding the \$1,000 purchase reporting threshold					
Rental Condo [RP]	JT	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Cambridge, MD, US					
DESCRIPTION: Rental Income					
State of Maryland Pension [DB]		Undetermined	Pension	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
T Rowe Price Charitable Gift Fund [OT]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: T Rowe Price Charitable Gift Fund					
Vanguard 500 Index [MF]	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: DC5					
Vanguard MidCap Index [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Vanguard Money Market [MF]	DC	\$1 - \$1,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: DC5					
Vanguard Money Market [MF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: JMH					
Vanguard Small Cap Value [MF]	DC	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: DC5					
Vanguard Wellington [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: DC4					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
457 MD State Investment Contract Pool [MF]		12/18/2018	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: distribution from 457					
529 Maryland Plan [5P]		08/2/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: MD					
529 Portfolio 2018 [OT]	DC	06/11/2018	E	\$50,001 - \$100,000	
LOCATION: US					
DESCRIPTION: transfer to portfolio for college					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Maryland Portfolio for College 529 [OT] LOCATION: MD, US DESCRIPTION: 529 Distribution - not a prepaid plan		08/2/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Maryland Portfolio for College 529 [OT] LOCATION: MD, US DESCRIPTION: Transfer from Portfolio 2018	DC	06/11/2018	E	\$50,001 - \$100,000	
MD State 457 Large Cap Value [MF] DESCRIPTION: to Vanguard Institutional Index		01/1/2018	E	\$15,001 - \$50,000	
MD State 457 Vanguard Institutional Index [MF] DESCRIPTION: from 457 Large Cap Value		01/1/2018	E	\$15,001 - \$50,000	
MD State 457 Vanguard Institutional Index [MF] DESCRIPTION: distribution from 457		12/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard 500 Index [MF] DESCRIPTION: from Short-Term Investment Fund		12/11/2018	E	\$100,001 - \$250,000	
vanguard midcap [MF] DESCRIPTION: to MM	DC	05/11/2018	E	\$1,001 - \$15,000	
Vanguard Money Market [MF]	DC	03/8/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Money Market [MF]	DC	05/24/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Short-Term Investment [MF] DESCRIPTION: to 500 Index		12/21/2018	E	\$100,001 - \$250,000	
Vanguard Small Cap [MF] DESCRIPTION: to MM, DC5	DC	02/26/2018	E	\$1,001 - \$15,000	

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Tidewater Anesthesia Associates	patient care, EHR training	\$12,000.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Navy Federal Credit Union	July 2013	Mortgage on vacation home, Cambridge, Maryland (not rented)	\$100,001 - \$250,000
JT	Pentagon Federal Credit Union	July 2013	Mortgage on personal residence, Cockeysville, Maryland (not rented)	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Associate Professor on Leave	Johns Hopkins University
Director	St. Joseph Hospital University of Maryland Health System

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2011	Johns Hopkins University	Leave of Absence
January 2014	State of Maryland	Health coverage
January 2014	State of Maryland	Pension

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
U.S Association of Former Members of Congress	02/17/2018	02/24/2018	Washington DC - Tokyo, Japan - Sapporo, Japan - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Andy Harris , 05/15/2019